

# **Tutorial Letter 201/1/2017**

**AUI3704**

## **The Internal Audit Process: Managing the Internal Audit Activity**

### **Semester 1**

### **Department of Auditing**

This tutorial letter contains important information about your module.

Bar code

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## 1. BRIEFING

This tutorial letter contains the solutions to Assignment 01 for this semester. The lecturer has marked a selection of the questions in this assignment. The marks you received for your answers to these questions will constitute your mark for this assignment and will contribute towards your year mark.

You need to assess your answers to the other questions yourself by comparing your answers to those provided in this tutorial letter.

Use the marking plan as a guide to award yourself marks for your answers. Take care not to mark the same concept more than once just because it appears more than once, perhaps in different words or in a different format.

After you have marked your own answers, please reflect carefully on your result to determine why you could not allocate full marks to your answers. Please ensure that you allocate marks only to valid answers. It is imperative that you identify your problem areas now, while you can still do something about them. If you do not solve all your problems as soon as you have identified them, you may repeat the same mistakes in the examination, and that could prove very costly.

Marking your answers should enable you to identify any problems you may be experiencing. Your marks for this assignment will be an indication of your level of knowledge of the module content at this stage. You should still have enough time left to revise the work and solve the identified problem areas before the examination.

We trust that you have found the assignment both interesting and informative and that it has served as an aid for your examination preparation. Should you encounter any difficulties regarding this module in internal auditing, please do not hesitate to contact us.

Lecturer: AUI3704

**2 KEY TO ASSIGNMENT 02/2016 (FIRST SEMESTER)****QUESTION 1:****(10 marks)**

1.1	2	Foundation for establishing the internal audit activity: Study guide, study unit 4
1.2	1	King III Study guide, study unit 2
1.3	3	King III Study guide, study unit 4.2
1.4	3	Study guide, Study Unit 11.2
1.5	4	Study guide, Study Unit 6.2
1.6	3	Study guide, Study Unit 4.2
1.7	3	Study guide, Study Unit 1
1.8	2	Study guide, Study Unit 5.4
1.9	1	Study guide, Study Unit 15
1.10	1	Study guide, Study Unit 16.4
1.11	4	Study guide, Study Unit 3.2
1.12	2	Study guide, Study Unit 3.2
1.13	3	Study guide, Study Unit 11.2
1.14	3	Study guide, Study Unit 6.2
1.15	2	Study guide, Study Unit 11.2
1.16	2	Study guide, Study Unit 2.2
1.17	1	Study guide, Study Unit 1.3
1.18	1	Study guide, Study Unit 3.2
1.19	2	Study guide, Study Unit 3.2
1.20	2	Study guide, Study Unit 3.2